FormulaFolios

Asset Allocation Questionnaire

This questionnaire will help determine the best asset allocation for your investments, based on your answers.

1. Please choose the top 3 priorities for your investments.

- ☐ Lower taxes
- ☐ Maximize the estate left to my heirs
- ☐ Protect my purchasing power from inflation
- ☐ Growth of my investment portfolio
- ☐ Generate current income
- ☐ Safety of principal

2. What is your current age?

- ☐ Older than 75
- ☐ 65 to 75
- ☐ 55 to 64
- ☐ 45 to 54
- ☐ Under 44

3. What is your primary goal for this investment?

- ☐ To grow aggressively
- ☐ To grow significantly
- ☐ To grow moderately
- ☐ To grow cautiously
- ☐ To avoid losing money

4. How would you approach making an investment decision?

- ☐ I would consider the potential loss first
- ☐ I would consider the potential loss somewhat more than the potential gain
- ☐ Both potential loss and gain are about the same to me
- ☐ I would consider the potential gain somewhat more than the potential loss
- ☐ I would consider the potential gain first

5. How would you react if an investment you held lost 15 percent in one year?

- ☐ I would be very upset and sell all my shares
- ☐ I wouldn’t be happy, but would probably keep my shares
- ☐ I would see it as a peak buying opportunity and buy more shares

6. When do you expect to begin withdrawing money?

- ☐ Less than 1 year
- ☐ 1 to 4 years
- ☐ 5 to 10 years
- ☐ 11 years or more

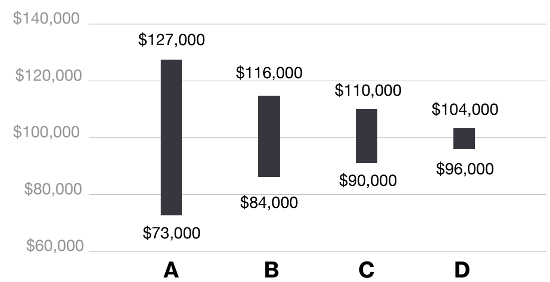
7. What is the largest loss you can handle in any 1-year period?

- ☐ 5%
- ☐ 10%
- ☐ 15%
- ☐ 20%
- ☐ 25% or more

8. How do you feel about the following statement: "I can easily adapt to significant, unexpected, or unfavorable financial changes."

- ☐ Disagree
- ☐ Slightly disagree
- ☐ Neither agree or disagree
- ☐ Slightly agree
- ☐ Agree

9. Starting with $100,000, which investment would you be most comfortable owning?



- ☐ A: $27,000 gain best case; $27,000 loss worst case
- ☐ B: $16,000 gain best case; $16,000 loss worst case
- ☐ C: $10,000 gain best case; $10,000 loss worst case
- ☐ D: $4,000 gain best case; $4,000 loss worst case

Client Signature ______________________ Date ____ / ____ / ________

Joint Client Signature ______________________ Date ____ / ____ / ________

Advisor Signature ______________________ Date ____ / ____ / ________

Please insert or attach the Custom Allocation Proposal generated for your Client on app.formulafolios.com